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Bib Data Sheet

CONFIRMATION NO. 8962

SERIAL NUMBER 09/923,035	FILING DATE 08/06/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 17066/00103	
APPLICANTS John Nafeh, Portola Valley, CA; Kenton K. Yee, Stanford, CA;					
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/240,903 10/17/2000 OK <i>smg</i> AND CLAIMS BENEFIT OF 60/284,054 04/16/2001					
** FOREIGN APPLICATIONS ***** NONE <i>smg</i>					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED.. SMALL ENTITY ** ** 09/17/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Acknowledged <i>allowance</i> Examiner's Signature <i>[Signature]</i> Initials <i>smg</i>		STATE OR COUNTRY CA	SHEETS DRAWING 6	TOTAL CLAIMS 95	INDEPENDENT CLAIMS 10
ADDRESS 26116					
TITLE Methods and apparatus for formulation, initial public or private offering, and secondary market trading of risk management contracts					
FILING FEE RECEIVED 1310	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		